

# The Mid-Infrared Instrument for the James Webb Space Telescope, I: Introduction

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## ABSTRACT

MIRI (the Mid-Infrared Instrument for the James Webb Space Telescope (JWST)) operates from 5 to  $28.5\text{ }\mu\text{m}$  and combines over this range: 1.) unprecedented sensitivity levels; 2.) sub-arcsec angular resolution; 3.) freedom from atmospheric interference; 4.) the inherent stability of observing in space; and

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5.) a suite of versatile capabilities including imaging, low and medium resolution spectroscopy (with an integral field unit), and coronagraphy. We illustrate the potential uses of this unique combination of capabilities with various science examples: 1.) imaging exoplanets; 2.) transit and eclipse spectroscopy of exoplanets; 3.) probing the first stages of star and planet formation, including identifying bioactive molecules; 4.) determining star formation rates and mass growth as galaxies are assembled; and 5.) characterizing the youngest massive galaxies.

*Subject headings:* space vehicles: instruments

## 1. Introduction

The growth in capabilities for infrared astronomy since the 1960s (Low et al. 2007) has been spectacular. What is little-appreciated is that the gains are not evenly distributed over even the wavelength range accessible from the ground. In the near infrared, there has been a gain of about 10,000 in detection limits and instruments have gone from single detectors to mosaics of arrays totaling nearly 100 million pixels (Caldwell et al. 2004; Dalton et al. 2006). By comparison, the sensitivity gains for mid-IR photometry from the 1970s are more like a factor of 30 (mostly due to the growth in telescope size) and until recently the largest arrays in use have no more than 100 thousand pixels (Kataza et al. 2000; Lagage et al. 2000)<sup>1</sup>, that is 1000 times fewer than the most ambitious instruments in the NIR. Some major telescopes (e.g., Gemini, Keck) do not even offer general-purpose mid-IR instruments, i.e. wide field imagers and moderate resolution spectrometers.

The reason for this disparity is that the huge thermal background from the atmosphere and groundbased telescopes both blinds mid-infrared instruments and also overwhelms large-format detector arrays. Consequently, the mid-infrared range has been strongly dependent on cooled telescopes in space such as IRAS (the Infrared Astronomical Satellite), ISO (the Infrared Space Observatory), *Spitzer*, *Akari*, and WISE (the Wide-Field Infrared Survey Explorer). The elimination of thermal emission by the atmosphere and telescope for these missions resulted in huge gains in sensitivity. However, launching these telescopes into space imposed another limitation: they all had small apertures, of only 40 - 85 cm, and therefore provided limited angular resolution. In fact, for some of the mid-infrared bands on *Spitzer*, the ultimate sensitivity limit in deep imaging was set by confusion noise because of the

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<sup>1</sup>VISIR has recently been upgraded to a a million pixels

limited angular resolution.

A simple comparison indicates the advance afforded by the James Webb Space Telescope (JWST). Compared with 8-m groundbased telescopes (e.g., COMICS (the Cooled Mid-IR Camera and Spectrometer) on Subaru, CanariCam on the Gran Telescopio Canarias, VISIR (the VLT Imager and Spectrometer for the mid-IR) on the VLT (Very Large Telescope)), the angular resolution is similar but with a potential gain in imaging sensitivity by a factor of about 3000 and for moderate resolution ( $R = \lambda/\Delta\lambda \sim 3000$ ) spectroscopy a factor of about 1000 (see Glasse et al. (2014) (Paper IX) for more information about the MIRI sensitivity). Compared with *Spitzer*, the potential gain in the mid-IR where JWST is natural background limited (roughly 5 - 12  $\mu\text{m}$ ) is about a factor of 50 (see <http://www.stsci.edu/jwst/science/sensitivity> for more comparisons). The factor of seven improvement over that mission in the diffraction limit with JWST (e.g., from an image full width at half maximum of 5''.8 at 24  $\mu\text{m}$  with *Spitzer* to 0''.7 at 21  $\mu\text{m}$  with JWST) is equivalent to going from a 330 Kpixel to a 16 Mpixel digital camera. That is, for the first time in the mid-IR, MIRI on JWST will *combine*: 1.) the incredible sensitivity levels just mentioned; 2.) sub-arcsec angular resolution; 3.) freedom from atmospheric interference; 4.) the inherent stability of observing in space; and 5.) a suite of versatile capabilities including imaging, low and moderate resolution spectroscopy (with an integral field unit), and coronagraphy.

This paper introduces a set of ten papers covering all aspects of MIRI and published by the Publications of the Astronomical Society of the Pacific, PASP volume 127, pages 584 - 696. The other papers can be consulted from PASP, or at the MIRI web site<sup>2</sup>. The latter has additional information about the instrument and will be updated as we progress toward launch in 2018.

## 2. MIRI and the JWST

“HST and Beyond” (Dressler et al. 1996) provided the initial momentum for a large, infrared-optimized space telescope<sup>3</sup> that has led to JWST. The report described the science opportunities for wavelengths between 1 and 5  $\mu\text{m}$ , strongly emphasizing the potential for extremely high redshift galaxy studies. Out of this concept came the “First Light Machine” theme. The telescope was then envisioned as an ambitious but relatively inexpensive mission for which a focused science program was appropriate. The core instruments were aligned

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<sup>2</sup><http://ircamera.as.arizona.edu/MIRI>

<sup>3</sup>a concept then termed the Next Generation Space Telescope (NGST)

with the “HST and Beyond” program; they consisted of an imager and a spectrometer both operating out to  $5\mu\text{m}$ . However, this report added “Extension of this telescope’s wavelength range shortward to about  $0.5\mu\text{m}$  and longward to at least  $20\mu\text{m}$  would greatly increase its versatility and productivity. The Committee strongly recommends this course ....”

The astronomy community understood the huge advances possible at the longer wavelengths, and almost immediately plans were explored for a Mid-Infrared Instrument (MIRI), building on the scientific momentum gained with the ISO results and the technical advances in preparation for *Spitzer*. The project decided that this instrument would require a 50/50 partnership between Europe and the US. Following separate competitive processes the MIRI team was selected on both sides of the Atlantic. Initially a cryostat to cool the instrument was to be provided by the European Space Agency (ESA), but this component was shifted to the US as part of the negotiations for ESA to supply the JWST launch vehicle. Eventually to save weight, a closed cycle cooler was adopted in place of the cryostat.

The MIRI optical system was built by the European Consortium of national efforts, from Belgium, Denmark, France, Germany, Ireland, the Netherlands, Spain, Sweden, Switzerland, and the United Kingdom, led by Gillian Wright, the European Principal Investigator, and Alistair Glasse, Instrument Scientist. EADS-Astrium provided the project office and management, and the full instrument test was conducted at Rutherford Appleton Laboratory. The Jet Propulsion Laboratory (JPL) provided the core instrument flight software, the detector system, including infrared detector arrays obtained from Raytheon Vision Systems, collaborated with Northrop Grumman Aerospace Systems on the cooler development and test, and managed the US effort. The JPL Instrument Scientist is Michael Ressler and the MIRI Science Team Lead is George Rieke. The fully tested instrument was delivered to Goddard Space Flight Center at the end of May, 2012, where it is being integrated with the other components of JWST. The Space Telescope Science Institute (STScI) is the JWST operations center and is developing software for the MIRI operations, user interaction, data reduction, and archive. However, the success in building MIRI and the work to integrate it with the rest of JWST, to devise plans for its use, and to support that use with data pipelines and analysis tools depends on the efforts of many MIRI team members in both Europe and the US. Some of these people appear as co-authors of the following papers, but there are many more.

The MIRI design was driven by the need to provide a full suite of capabilities in a single instrument for wavelengths beyond  $5\mu\text{m}$ . It therefore combines imaging, coronagraphy, low resolution spectroscopy, and medium-resolution, integral-field-unit spectroscopy. Tables 1, 2, and 3 and Figure 1 provide a high level summary of the instrument capabilities, based on current estimates (which remain uncertain in some areas, such as the level

of emission from the observatory into the long wavelength MIRI bands). This information is provided here for convenience, but a more detailed summary is provided by Glasse et al. (2014) (Paper IX). The instrument images will be diffraction limited at all wavelengths, so their full width at half maxima is given by the expression  $0.035 \times \lambda(\mu\text{m})$  arcsec. Additional information about MIRI can be found at <http://ircamera.as.arizona.edu/MIRI> and <http://www.stsci.edu/jwst/instruments/miri/>. Up-to-date estimates of the instrument sensitivity and other performance parameters should be obtained from these websites or from the relevant observing planning tools at STScI. We describe a few of the science programs enabled by these capabilities in the following section.

### 3. Sample Science Programs

The overall science capabilities of JWST are presented in Gardner et al. (2006). Rather than trying to condense that discussion, here we describe a small number of programs to highlight the impact of the unique capabilities provided by MIRI and in some cases to introduce new suggestions from the astronomical community for JWST programs.

#### 3.1. Exoplanet Imaging

The discovery and study of exoplanets is the greatest astronomy initiative of the past decade. However, virtually all known exoplanets have been found indirectly - through their effect on the radial velocities of their stars, or by transit observations. Imaging of exoplanets is the next frontier; from the current successes (e.g., Kalas et al. (2008); Marois et al. (2008); Lagrange et al. (2010); Bailey et al. (2014)), it promises to be rich with discoveries. Exploring it thoroughly will reveal the regions of planetary systems beyond 10 AU and will return information needed to probe the origins and physical nature of the gas- and ice-giant planets that form in this region. The strength of the JWST instruments in general and MIRI in particular is their ability to image very faint planets, probing down to masses of order  $0.1 - 0.2 M_{\text{Jup}}$ .

Possible methods for direct imaging of exoplanets have been evaluated by Beichman et al. (2010). The MIRI coronagraph is optimized for such studies, since its four quadrant phase masks (4QPMs) allow information to be obtained to within  $\sim \lambda/D$  of the star. The large telescope aperture places this limit at about 5 AU at 10 pc for the coronagraph channels at  $11 - 16\mu\text{m}$ , and the sensitivity allows detection of low mass planets (see Figure 2). MIRI detects the thermal radiation (rather than reflected light) and hence is most sensitive to

young exoplanets. Figure 2 summarizes the results of the Beichman et al. (2010) study; it projects that many planets are most detectable with MIRI among the JWST instruments. For the 25 most favorable cases, the study predicted that MIRI would have a high success rate in finding any planets with average masses of  $1\text{--}2 M_{\text{Jup}}$  at average separations of 60 AU. More importantly, JWST will achieve detections with multiple instruments, and these cases are the ones where the additional information will allow testing theories of exoplanet evolution.

### 3.2. Transit and eclipse spectroscopy of exoplanets

The stability of observations from space has allowed HST and *Spitzer* to make a series of remarkable observations of transits and secondary eclipses by exoplanets during the past decade, initiating the study of their physical properties such as energy balance and atmospheric composition and circulation. The expansion of this field of study with JWST is described in Deming et al. (2009) and Fortney et al. (2013). From the former paper, we take one example that builds on the unprecedented sensitivity of MIRI and the stability of the space environment.

Figure 3 shows the spectrum of a model atmosphere of a super-Earth with deep absorption around  $15 \mu\text{m}$  due to CO<sub>2</sub>. The ratio of the signals in the two indicated filters is a sensitive measure of the depth of the  $15 \mu\text{m}$  absorption and hence of the CO<sub>2</sub> content of the atmosphere of the planet; observations of secondary eclipses with the two filters would allow a sensitive search for CO<sub>2</sub> absorption. The scheduled launch of the Transiting Exoplanet Survey Satellite (TESS) in 2017 will provide optimal targets for transit and eclipse observations over the JWST mission. Figure 4 shows that a number of the anticipated super-Earths might be searched for CO<sub>2</sub> with a high priority program (the signal to noise ratios are calculated assuming all eclipses available during the JWST mission are observed).

In addition, the figure demonstrates that high signal-to-noise could be achieved on eclipses of many Neptune-sized planets, opening the possibility of obtaining spectra of them. Taking the example of GJ 436b (a hot Neptune measured in transit with *Spitzer* photometry (Stevenson et al. 2010)), ten transits would yield a LRS spectrum with a ratio of signal to noise of about 20 and a resolution of 100. Such a spectrum would allow detailed studies of the atmospheric composition, including molecular features such as those from H<sub>2</sub>O, NH<sub>3</sub>, and CH<sub>4</sub>. Such species dominate the molecular atmospheric opacity where giant planets have significant levels of thermal emission and hence are important in understanding their energy balance and emission. In general, the targets suitable for MIRI will also yield spectra with the JWST near infrared spectrometer (NIRSpec) that are sensitive to water and the CO<sub>2</sub> band at  $4.3 \mu\text{m}$  (Deming et al. 2009). Monitoring planets around their orbits with

these instruments will probe energy transport by their atmospheres as different regions of the planet are exposed and potentially, if the planet is on an elliptical orbit, as it experiences differing levels of heating. Thus, MIRI will enable detailed characterization of super-Earths and larger planets.

### 3.3. Early stages of star and planet formation

The embedded phase is a critical period in the evolution of a young star, when its final mass and the characteristics of any protoplanetary disk are determined. Many physical processes occur simultaneously in the first few  $\times 10^5$  yr: infall in the collapsing envelope, formation of the disk, outflows sweeping up and shocking the material, and UV photons heating and dissociating the gas, thereby affecting the next generation of protostars. Large scale surveys of Galactic star-forming clouds with *Herschel* and *Spitzer* have advanced our understanding enormously (Dunham et al. 2014), but studies of individual sources have been hampered by poor spatial and spectral resolution and sensitivity. MIRIs integral field unit (IFU) spatial resolution down to  $0''.2$  and field of view (FOV) of  $3''.5 - 7''$  are well matched to the sizes of the young disks ( $\sim 100$  AU) and envelopes ( $\sim 2000$  AU).

Figure 5 shows the *Spitzer*-IRS spectrum of a deeply embedded low-mass protostar (b1c) and a less-obscured object for comparison (HH 46). The mid-infrared continuum is generated by warm dust close to the protostar in the inner envelope or young disk. Silicate grain cores and ices in the cold surrounding envelope produce deep absorption features. There are a few windows ( $5-8 \mu\text{m}$ , near  $14 \mu\text{m}$  and beyond  $20 \mu\text{m}$ ) for looking deep into protostellar cores to characterize the physical structure of the warm component (Cernicharo et al. 2000). These interstellar windows give access to a rich set of spectral emission features that can trace chemical processes in the youngest protoplanetary disks, including astrobiologically important substances such as water and organic molecules. Ironically, much of the spectral range in these windows is blocked by the terrestrial atmosphere. MIRI will be able to probe the earliest, most deeply embedded stages of star formation, from very low-luminosity objects ( $< 0.1L_\odot$ , proto brown dwarfs) to the highest luminosity ( $> 10^5 L_\odot$ ), highly obscured protostellar sources.

Figure 6 illustrates the richness of the molecular spectrum in a more evolved protoplanetary disk around a T Tauri star for which the envelope has dissipated (similar spectral richness is expected for embedded protostars). The high spectral resolution provided by MIRI will allow detailed study of the volatiles that dominate the condensable mass and it will support increased understanding of processes near snow lines during the period when volatile-rich planetesimals form in gas-rich protoplanetary disks. The pioneering spectroscopy of these re-

gions with *Spitzer* (Carr & Najita 2008, Pontoppidan et al. 2010) has posed many questions that MIRI and JWST with their combination of high sensitivity and spectral resolution can answer: What are the abundances, temperatures, and distributions of the disk molecules, and how do they differ between substellar and higher mass young stars? Are the gas and dust distributions decoupled? The answers will give us a new perspective on the physical and chemical conditions in the giant-planet forming zones of disks.

In general, JWST/MIRI is unique for many studies of the environments where stars and planets form. The fundamental vibrational transitions of many organic molecules are in the mid-IR, for example CH<sub>4</sub> (7.7  $\mu\text{m}$ ), C<sub>2</sub>H<sub>2</sub> (13.7  $\mu\text{m}$ ), HCN (14.0  $\mu\text{m}$ ), and CO<sub>2</sub> (15.0  $\mu\text{m}$ ). The first two have no dipole moment and therefore are not accessible through rotational transitions in the mm-wave. H<sub>2</sub>O has many transitions in the mid-IR, such as the bending mode at 6.0  $\mu\text{m}$ . Ices of these materials also absorb in broadened features near the fundamental transitions. The lowest transitions of H<sub>2</sub> also occur within the MIRI spectral range, e.g., the J = 2 – 0 S(0) line of para-H<sub>2</sub> at 28.22  $\mu\text{m}$  and the J = 3 – 1 (S(1) line of ortho-H<sub>2</sub> at 17.03  $\mu\text{m}$ . Since the populations of these levels are usually thermalized, measurements of these two lines provide a direct measure of the mass and temperature of the bulk of molecular gas at temperatures between 50 and 200K. Atomic fine structure lines throughout the mid-IR (e.g., [Ne II] 12.8  $\mu\text{m}$ , [Ne III] 15.6  $\mu\text{m}$ , [Ar II] 7.0  $\mu\text{m}$ , [Ar III] 9.0  $\mu\text{m}$ , & [OIV] 25.91  $\mu\text{m}$ , also [FeII] 25.988  $\mu\text{m}$ ) can probe the hardness of the radiation field, the gas density, metallicity, and the presence of shocks. This rich set of diagnostic lines can also explore the physics of the primeval jets and compare them with those from more evolved protostars. The high spatial resolution will let us identify source components, such as the outflow cavity walls, or internal disk shocks. The latter reflect the accretion history of the protostar and can test theories of episodic versus steady-state accretion (Dunham et al. 2014). These capabilities are complementary to those of ALMA (the Atacama Large Millimeter Array) for probing the gas in forming stars and planets: the two capabilities have similar angular resolution and together will probe the warm, inner, planet-forming region (MIRI) and cold outer parts (ALMA) of young stellar systems (van Dishoeck 2004).

### 3.4. Galaxy assembly

Even for well-observed local galaxies, it has proven difficult to measure star formation rates accurately because of the effects of absorption and scattering by interstellar dust (e.g., Calzetti et al. (2010)). Dust obscuration remains a significant obstacle to accurate measures of star formation to z of 2 and beyond (e.g., Reddy et al. (2010)), and the available data (*Spitzer*, *Herschel*) cannot survey deep enough to characterize it completely (see, e.g., Figure

4 in Elbaz et al. 2011). With careful calibration of the evolution of infrared spectral energy distributions, it has been found that photometry at  $24\text{ }\mu\text{m}$  can measure star formation rates accurately (typical errors of 0.13 dex) out to  $z \sim 2.5$  (Rujopakarn et al. (2013)), see Figure 7. Thus, deep MIRI surveys at  $21\text{ }\mu\text{m}$  will be able to measure dust-embedded star formation rates to  $z = 2$  and down to far infrared luminosities of  $3 \times 10^{10}\text{ L}_\odot$ . To complete our understanding of dust-embedded star formation at even higher redshift,  $z \geq 2$ , accurate star formation rates in dusty galaxies will require ALMA observations.

The reason that galaxies at  $z \sim 1$  and above have relatively similar infrared spectral energy distributions is that their star formation, even at very high luminosities, tends to be spread over a multi-kpc diameter region. High optical depths in the mid-infrared are therefore rare and seldom have a substantial effect on the mid-infrared output, allowing a standard template to produce accurate estimates of the star formation rates (Rujopakarn et al. 2013). This situation contrasts with local very luminous galaxies, where the star formation tends to be concentrated in regions well under a kpc in diameter centered on the galaxy nucleus. To probe the morphologies of distant vigorously star forming galaxies requires high resolution imaging in the mid-infrared. Fortunately, this goal can be achieved by inverting gravitationally lensed images as shown, for example, for the huge lensed arc in Abell 370 by Richard et al. (2009). In these cases, the resolution of MIRI will provide good reconstructed images and the MRS IFU will make observations efficient as shown in Figure 8.

At higher redshifts, MIRI will make fundamental contributions to understanding the mass assembly of galaxies by achieving images of unprecedented depth and resolution matching the deepest images currently available in the near infrared (Figure 9). It is expected that the distribution of galaxy masses will be strongly skewed toward small masses by  $z \sim 5$ , early in the process of galaxy assembly (Figure 10). Quantifying this behavior requires reliable determination of galaxy masses by measuring and modeling the stellar spectral energy distributions nearly out to their peak at a restframe wavelength of  $1.6\text{ }\mu\text{m}$  (rest). At  $z = 4$ , this peak has been shifted to  $8\text{ }\mu\text{m}$ , where *Spitzer* Infrared Array Camera (IRAC) data have been used to model galaxy masses. However, as shown in Figure 10, the sensitivity limitations (in large part due to confusion) have kept us from measuring masses down into the interesting range. The additional depth with MIRI (dashed lines in Figure 10) will probe galaxy mass assembly definitively.

### 3.5. The first galaxies

Finding the first galaxies was the original justification for JWST and remains its top priority. There are a number of recent theoretical studies of what this goal might entail (e.g., Pawlik et al. (2011, 2013); Zackrisson et al. (2011, 2012); Safranek-Shrader et al. (2012); Muratov et al. (2013)). These works predict a substantial range of possible properties (e.g., Zackrisson et al. (2011); Safranek-Shrader et al. (2012)), but generally agree that a “typical” first light galaxy will be of very low metallicity (by definition) and also of low mass and very faint, close to the detection limits with NIRCam (the JWST Near Infrared Camera). In such cases, JWST will be able to find candidates based on photometric redshifts, but characterizing the candidates (and even confirming that they are first light objects, i.e., with no metals and no prior history of star formation) will be challenging.

Therefore, systematic study of galaxies at these epochs will focus on the brighter examples. It is now predicted that Population III galaxies (i.e., with zero or only trace metallicity) may exist in significant numbers over a broad range of redshift (see Figure 11), down to  $z \leq 7$  (Zackrisson et al. 2012; Muratov et al. 2013). The luminosity distance is reduced by a factor of 1.8 (or 3.1) at this redshift compared with  $z = 10$  (or 16), corresponding to increases in brightness by 3 (or 10). In addition, there will be a large range of halo masses, with a corresponding range of galaxy masses; studies will by necessity focus on these higher mass galaxies (Bromm & Yoshida 2011). For example, Behroozi & Silk (2014) find that a single NIRCam survey field could have hundreds of galaxies between  $z = 9$  and 10 and with mass  $> 10^8 M_{\odot}$  (the upper limit to first-light galaxy masses in many theoretical studies (Bromm & Yoshida 2011)). Oesch et al. (2014) report a significant number of candidates for galaxies at  $z \sim 10$  and  $M \sim 10^9 M_{\odot}$ . Such galaxies are where we will definitively test the “first light” hypothesis and use MIRI and the other JWST instruments to study key characteristics such as the history of star formation, the presence of active nuclei, and the buildup of metals.

MIRI will play central roles in the first three of these four investigations. From Figure 12, MIRI colors (with NIRCam ones) can identify true Population III galaxies. MIRI detections of H $\alpha$  (which moves into the MIRI spectral range for  $z > 6.7$ ) will give insights to the instantaneous star formation rates (Paardekooper et al. 2013). A 30-hour integration with the MIRI medium-resolution spectrometer on a galaxy at  $z = 10$  would measure ( $4\sigma$ ) the H $\alpha$  from star formation at the rate of  $8 M_{\odot}/\text{yr}$ . Candidate objects at this redshift and star formation level are already being identified (Labbé et al. 2013; Holwerda et al. 2014). H $\alpha$  line profiles will also be useful to find active galactic nuclei (AGNs). Very low metallicity galaxies have no strong emission lines between Lyman  $\alpha$  and the Balmer series (Groves et al. 2008); predictions of the strength of Ly $\alpha$  are uncertain (e.g. Anders & Fritze v. Alvensleben

2003; de Barros et al. 2014), with indications that it may be very weak at  $z > 7$  (e.g., Jiang et al. 2013). Hence, H $\alpha$  with MIRI may be the most readily detectable emission line for galaxies at  $z > 10$ . At these redshifts, MIRI photometry will also be the best way to determine the strength of Balmer breaks and hence the longer term history of star formation.

#### 4. Introduction to series of papers

This special issue of PASP contains a series of papers describing MIRI in full. The papers deal with each of the main science capabilities in turn, including imaging, prism spectroscopy, coronagraphy and integral field spectroscopy. Key to achieving the science goals is the understanding and optimisation of the performance of the three detector arrays in MIRI and of their control electronics. The operating characteristics of the detectors themselves and of their readouts and control electronics are therefore described separately. The paper on sensitivity then combines our understanding of the performance of the entire electro-optic detection chain with the expected observatory environment, into an estimation of the sensitivity that will be achieved in an observation using a single spacecraft pointing on-orbit. Finally, a snapshot of the still developing operating model and calibration pipeline for MIRI observing is presented, where the plans for calibrating, mapping and background subtraction are illustrated.

The specific papers are:

II: “Design and Build” (Wright et al. 2014) is an overall introduction to the instrument system and its thermal, mechanical, electronic and software interfaces with the JWST space-craft. It also describes subsystems common to all the individual instrument capabilities (e.g., calibration sources).

III: “MIRIM, the MIRI imager” (Bouchet et al. 2014) gives an overview of the imaging module, which also supports the prism spectrometer and coronagraphic capabilities. It describes the overall optical design, and gives the details for the imaging band-defining filters. It also has an overview of the test campaign for the imager and its results.

IV: “The MIRI Low Resolution Spectrograph” (Kendrew et al. 2014) describes the double prism in the imager filter wheel that provides spectra with resolution of  $\lambda/\Delta\lambda \sim 100$ , used either with a single slit (for spectra of very faint objects) or in a slitless mode (primarily envisioned for planetary transit measurements).

V: ”The Predicted Performance of the MIRI Coronagraphs,” (Boccaletti et al. 2014) describes another capability included in the MIRI imager. There are four coronagraphs, three

based on quarter wave phase plates and one a classical Lyot design. The paper describes the principles of operation, the implementation in MIRI, and then models the expected performance in detail, taking account of the current best estimates for the telescope wavefront error and guiding accuracy.

VI: “The Medium-Resolution Spectrometer” (Wells et al. 2014) discusses the principles behind the optical design of the general purpose MIRI spectrometer, followed by a description of its construction and ground-test results. It concludes with a number of areas required for effective use of the instrument, such as correction for fringing in the detector arrays, generating calibration data, and corrections for stray light.

VII: “The MIRI Detectors” (Rieke et al. 2014) describes the general principles of operation of the Si:As IBC detectors in the instrument and their basic performance properties. It follows with more detailed theoretical analyses of : 1.) the trend of response with bias voltage; 2.) the detector/readout nonlinearity; 3.) latent images; and 4.) the cross-like image artifact in the 5 - 8  $\mu\text{m}$  spectral range.

VIII: “The MIRI Focal Plane System” (Ressler et al. 2014) shows how the detector array readout circuits work, how they are controlled, and how their signals are digitized and formatted. It discusses the options for subarrays. Finally, it includes a listing of the primary anomalies in the photometric performance of the detectors that need to be corrected in the data pipeline.

IX: “Predicted Sensitivity” (Glasse et al. 2014) combines the test results on the flight instrument into our best projection of the signal to noise it will achieve on orbit.

X: “Operations and Data Reduction” (Gordon et al. 2014) shows how MIRI observations will be planned using Observation Templates, one template for each of the primary instrument modes (imaging, coronagraphy, low resolution spectroscopy, medium resolution spectroscopy). It then outlines the approach being developed for data reduction and closes with some samples of potential MIRI observations.

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Table 1. MIRI Measurement Capabilities

name	FOV	Wavelength Range ( $\mu\text{m}$ )	Spectral Properties	Reference (this volume)
Diffraction-limited Imaging	$74'' \times 113''$	5.6 - 25.5	9 bands	Paper III
Low Res. Spectroscopy	$0''.51 \times 4''.7$ slit	5 - 12	$\lambda/\Delta\lambda \sim 100$	Paper IV
Slitless Spectroscopy	7.''9 wide	5 - 12	$\lambda/\Delta\lambda \sim 100$	Papers IV & VIII
Phase Mask Coronagraphy	$24'' \times 24''$	10.65 - 15.5	3 bands	Paper V
Lyot Coronagraphy	$30'' \times 30''$	23	one band	Paper V
Medium Res. Spectroscopy	$3''.44 \times 3''.64$ IFU <sup>a</sup>	4.9 - 28.8	$\lambda/\Delta\lambda \sim 1500 - 3500$	Paper VI

<sup>a</sup>Four integral field units (IFUs); the listed field is common to all, while the longer wavelength units have larger fields up to  $7'' \times 7''$

Table 2. Imager and Coronagraph Properties

name	FOV <sup>a</sup> (arcsec)	$\lambda_0$ ( $\mu\text{m}$ )	$\lambda/\Delta\lambda$	10- $\sigma$ in 10,000s <sup>d</sup>	$\lambda/D$ (arcsec)
F560W	$74 \times 113$	5.6	5	$0.17 \mu\text{Jy}$	0.19
F770W	$74 \times 113$	7.7	3.5	$0.27 \mu\text{Jy}$	0.26
F1000W	$74 \times 113$	10	5	$0.60 \mu\text{Jy}$	0.34
F1130W	$74 \times 113$	11.3	16	$1.48 \mu\text{Jy}$	0.39
F1280W	$74 \times 113$	12.8	5	$0.94 - 1.05 \mu\text{Jy}$	0.44
F1500W	$74 \times 113$	15	5	$1.5 - 2.0 \mu\text{Jy}$	0.52
F1800W	$74 \times 113$	18	6	$3.7 - 5.3 \mu\text{Jy}$	0.62
F2100W	$74 \times 113$	21	4	$7.5 - 10.5 \mu\text{Jy}$	0.72
F2550W	$74 \times 113$	25.5	6	$27 - 36 \mu\text{Jy}$	0.88
F1065C <sup>b</sup>	$24 \times 24$	10.65	20		0.37
F1140C <sup>b</sup>	$24 \times 24$	11.40	20		0.39
F1550C <sup>b</sup>	$24 \times 24$	15.5	20		0.53
F2300C <sup>c</sup>	$30 \times 30$	23	5		0.79

<sup>a</sup>All imager functions have  $0''.11$  projected pixels

<sup>b</sup>Four quadrant phase mask coronagraph

<sup>c</sup> Lyot coronagraph

<sup>d</sup>The range of detection limits at the long wavelengths reflects the uncertainty in the estimates of the observatory emission level in these bands.

Table 3. Spectrometer Properties

name	FOV slice width, pixel size (arcsec)	sub-band	$\lambda$ range ( $\mu\text{m}$ )	$\lambda/\Delta\lambda$
LRS	$0.51 \times 4.7$ —, 0.11		5 - $\sim 14$	$\sim 100^a$
MRS		A	4.87 - 5.82	
Channel 1	$3.0 \times 3.9$ 0.176, 0.196	B C	5.62 - 6.73 6.49 - 7.76	2450 - 3710
MRS		A	7.45 - 8.90	
Channel 2	$3.5 \times 4.4$ 0.277, 0.196	B C	8.61 - 10.28 9.94 - 11.87	2480 - 3690
MRS		A	11.47 - 13.67	
Channel 3	$5.2 \times 6.2$ 0.387, 0.245	B C	13.25 - 15.80 15.30 - 18.24	2510 - 3730
MRS		A	17.54 - 21.10	
Channel 4	$6.7 \times 7.7$ 0.645, 0.273	B C	20.44 - 24.72 23.84 - 28.82	2070 - 2490

<sup>a</sup>at  $7.5\mu\text{m}$ . The detection limit at this wavelength is estimated to be  $3 \mu\text{Jy}$ ,  $10-\sigma$ , 10,000 seconds.

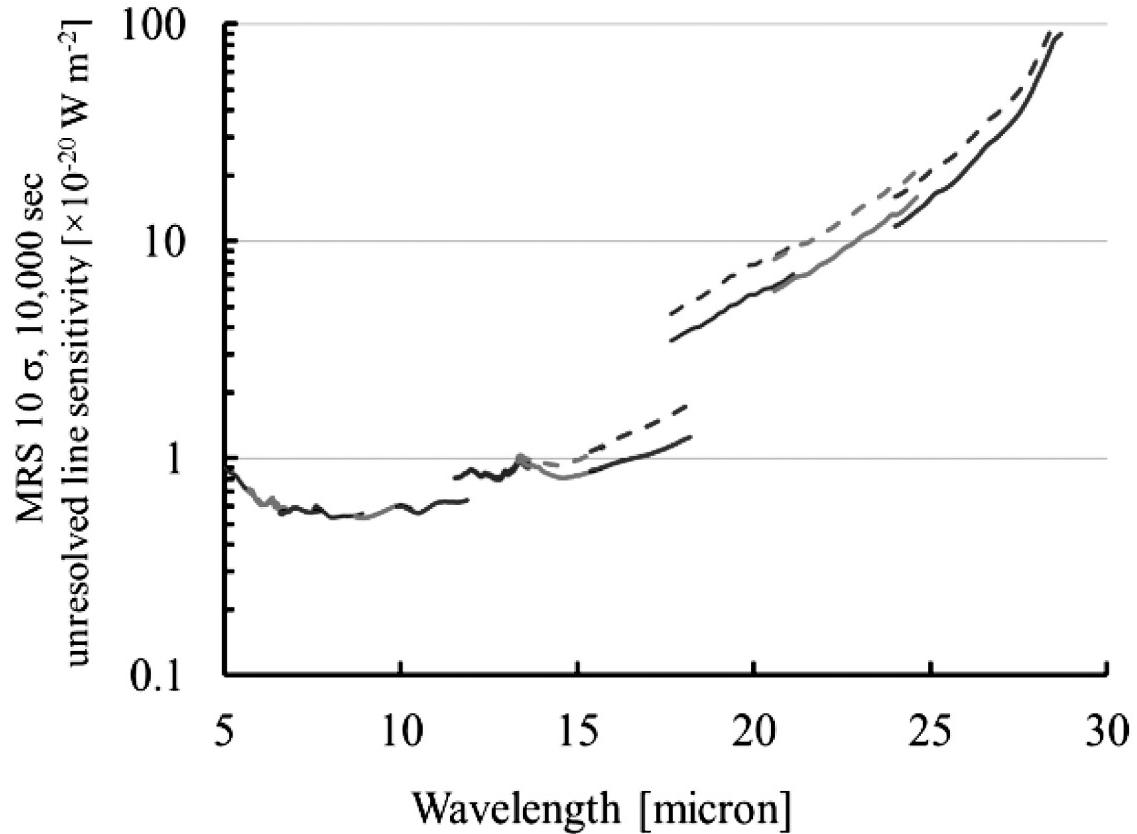


Fig. 1.— Estimated detection limits ( $10-\sigma$  in 10,000 seconds of integration) for an unresolved spectral line from a point source, with the medium resolution spectrometer (MRS). The solid and dashed lines show estimates for two different possible levels of observatory emission as discussed in Paper IX.

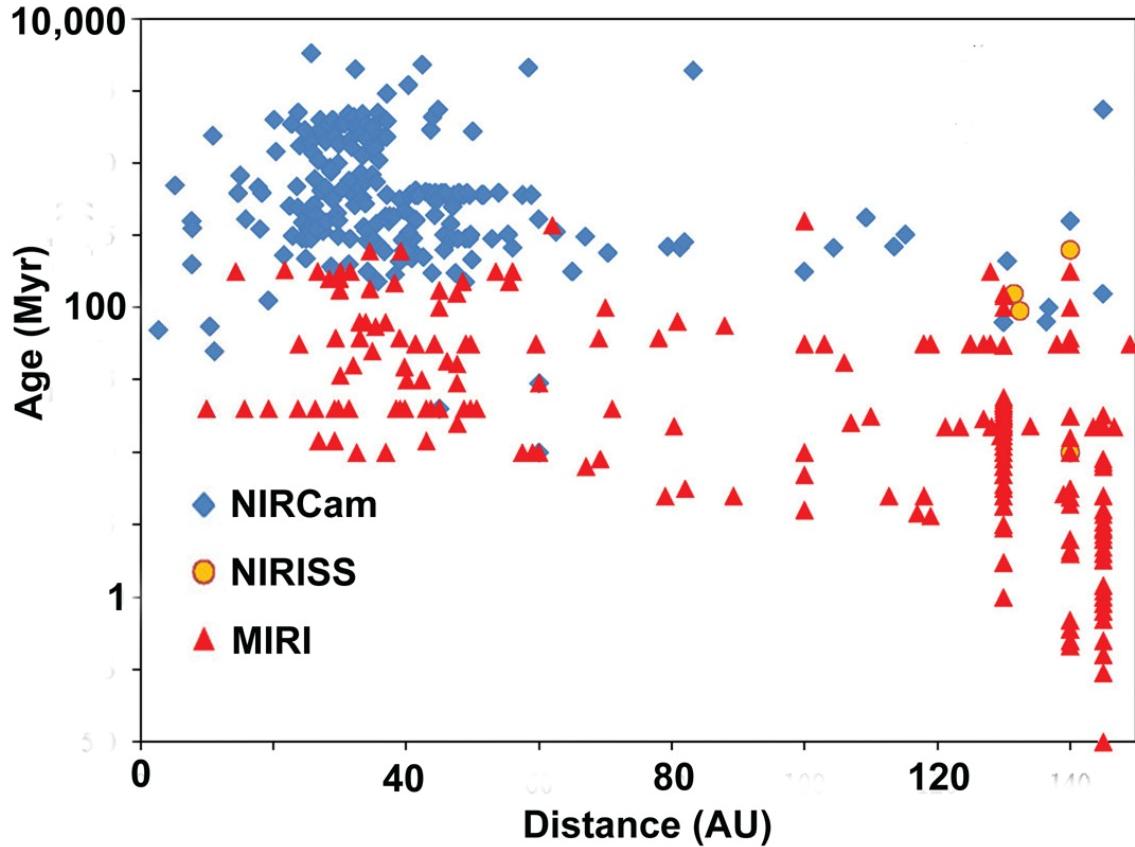


Fig. 2.— Detectability of young planets as a function of age and distance from their stars, based on a Monte Carlo analysis of high-contrast (coronagraphic) JWST imaging. The investigation was centered on the stars most likely to have detectable planets (e.g., nearby, and young or of very low mass) and planet fluxes were predicted from the CONDO3/DUSTY models (Baraffe et al. 2003). The symbols are coded according to the instrument with the highest likelihood of achieving a detection; MIRI is the instrument of choice for planets younger than 200 Myr, NIRCam for most cases for older planets, and the Near-IR Imager and Slitless Spectrograph (NIRISS) for those closest to their stars. More importantly, many of the simulated planets would be detected in multiple ways, allowing derivation of many of their intrinsic properties (Beichman et al. 2010).

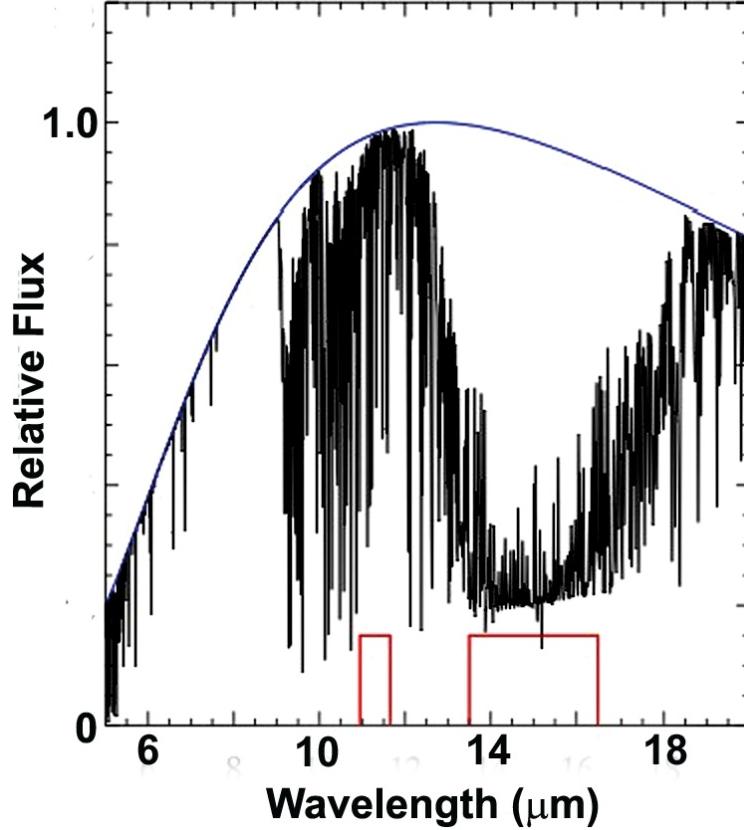


Fig. 3.— Simulated spectrum of a super-Earth and two MIRI photometric bands. The spectral continuum is in blue and the effects of absorption features in black. The MIRI imager spectral bands at  $11.3\text{ }\mu\text{m}$  and  $15\text{ }\mu\text{m}$  are shown in red along the x-axis (Deming et al. 2009). The depth of the  $\text{CO}_2$  absorption indicates whether the planetary atmosphere is hydrogen poor (shown here) or hydrogen rich (in which case there is virtually no absorption) (Miller-Ricci et al. 2009). It therefore allows a critical test of whether the planet is habitable in any conventional sense. Figure 4 shows the number of systems that could be measured in this way(Deming et al. 2009).

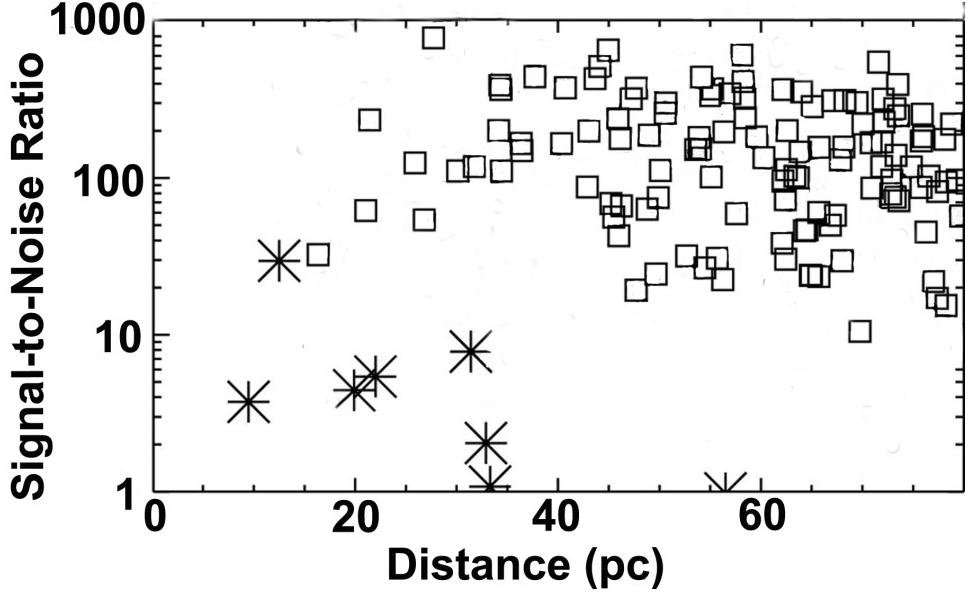


Fig. 4.— Signal to noise ratios for simulated TESS planets, hypothesized to be measured by MIRI eclipse photometry at  $15 \mu\text{m}$ . The star symbols represent super-Earths in the habitable zones of their stars and apply to detection of CO<sub>2</sub> as in Figure 3, with signal to noise assuming all available transits are measured with JWST. The open squares are planets with radii between 3 & 5 Earth radii (i.e., Neptunes), and the signal to noise is for continuum radiation at  $15 \mu\text{m}$ . (Deming et al. 2009).

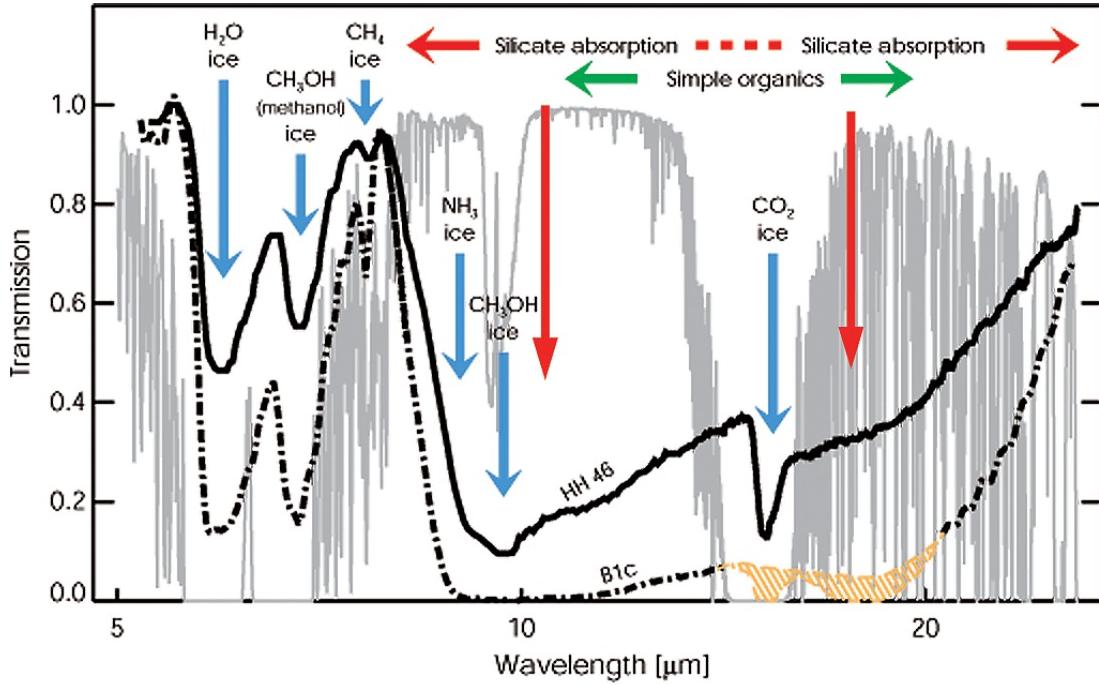


Fig. 5.— Windows for probing cold cloud cores. The grey tracing is the transmission of the terrestrial atmosphere (1mm water vapor). The two *Spitzer* IRS low-resolution spectra are of lightly (HH 46), and heavily (the B1c protostar in Persius (Boogert et al. 2008)) obscured sources. For the latter, a spline area interpolation has been used (hatched green area) to show what might be expected for the spectral region not observed with IRS. The positions of important spectral features are marked. The 10 and 18  $\mu\text{m}$  silicate absorptions are indicated by the downward pointing red arrows. They leave windows into the cloud core at 5 - 8  $\mu\text{m}$  and 14 - 17  $\mu\text{m}$ , but these ranges are blocked by the terrestrial atmosphere.

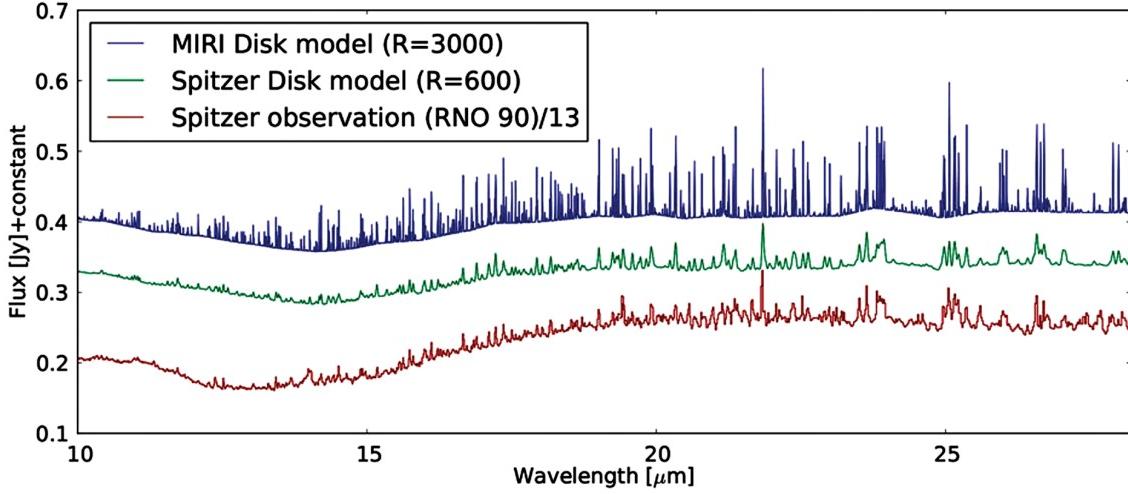


Fig. 6.— The lowest spectrum is an observation with the IRS on *Spitzer* of the molecular forest in the protoplanetary disk around RNO 90 (Pontoppidan et al. 2010); the spectrum above it is a two-dimensional radiative transfer model of water vapor adjusted to the same resolution ( $R = 600$ ). The upper spectrum is the same model but at the MIRI resolving power,  $R \sim 3000$ . Although the spectrum of RNO 90 is dominated by  $\text{H}_2\text{O}$  transitions, the forest of lines should also contain contributions from OH, and from ro-vibrational bands of simple organic molecules such as  $\text{CO}_2$ , HCN, and  $\text{C}_2\text{H}_2$ . Illustration from K. M. Pontoppidan, STScI, <https://blogs.stsci.edu/newsletter/2013/03/27/new-window-into-planet-formation-with-webb>

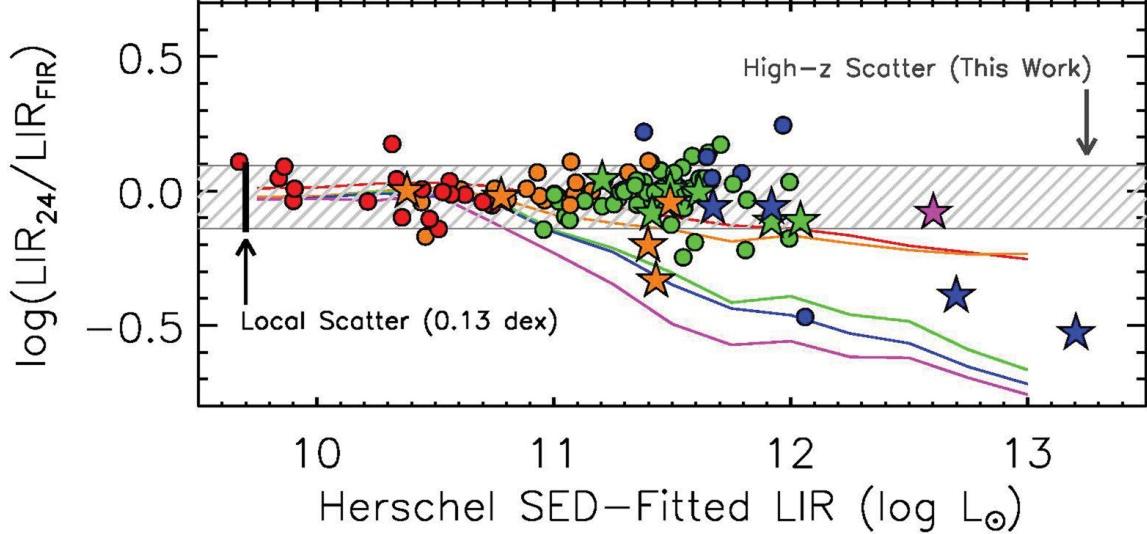


Fig. 7.— Comparison of  $L(TIR)$  (the total infrared luminosity) estimated from  $24\ \mu m$  measurements only with that from integrating spectral energy distributions (SEDs) fitted to *Herschel* SPIRE (Spectral and Photometric Imaging Receiver) photometry (Rujopakarn et al. 2013). This figure refers to purely star-forming galaxies only and to apply the results requires that strong active nuclei be eliminated from the sample, e.g., through deep X-ray imaging and identification of power-law objects in the mid-IR. The ratio of the two determinations ( $LIR_{24}$  and  $LIR_{FIR}$ ) has a scatter of 0.12 dex, similar to the scatter in determining  $L(TIR)$  from  $24\ \mu m$  measurements locally. The individual points are color-coded to redshift, red ( $z < 0.3$ ), orange ( $0.3 < z < 0.6$ ), green ( $0.6 < z < 1.0$ ), blue ( $1.0 < z < 2.0$ ) and purple ( $z > 2.0$ ). The lines, color coded similarly, show the ratios that would result if the high-redshift galaxies had infrared SEDs similar to those of local ones of the same luminosities, demonstrating the importance of accounting for the SED evolution in this result. About 6% of high redshift star-forming galaxies appear to be compact like local ones, and for them determination of the star formation rate from  $24\ \mu m$  will have larger errors.

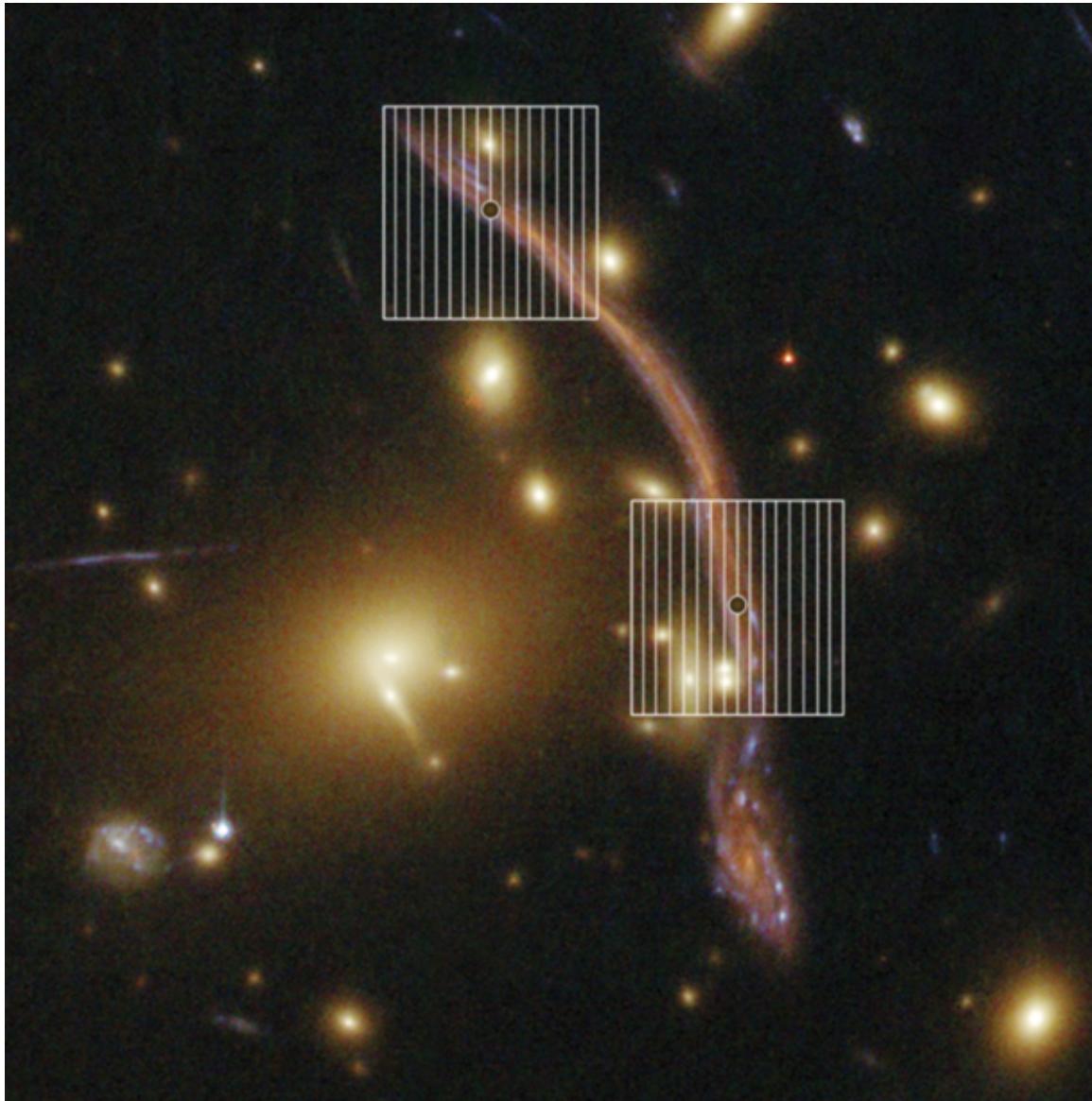


Fig. 8.— The giant arc lensed by the Frontier Fields cluster Abell 370 and imaging a background galaxy at  $z = 0.725$  (Richard et al. 2009). Superimposed on the arc are two MIRI IFU fields for Channel 3 (11.47 - 18.24  $\mu\text{m}$ ). The vertical lines delineate the IFU slices, while the circle in the middle of each field is  $\lambda/D$  at the middle wavelength of Channel 3 (15.5  $\mu\text{m}$ ). In this example, the MIRI reconstructed image could reveal the distribution of aromatic features, characterize the radiation field through the relatively extinction-free mid-infrared fine structure lines of [ArII] and [ArIII] and [NeII] and [NeIII], and identify continuum warm spots marking heating by embedded massive stars.

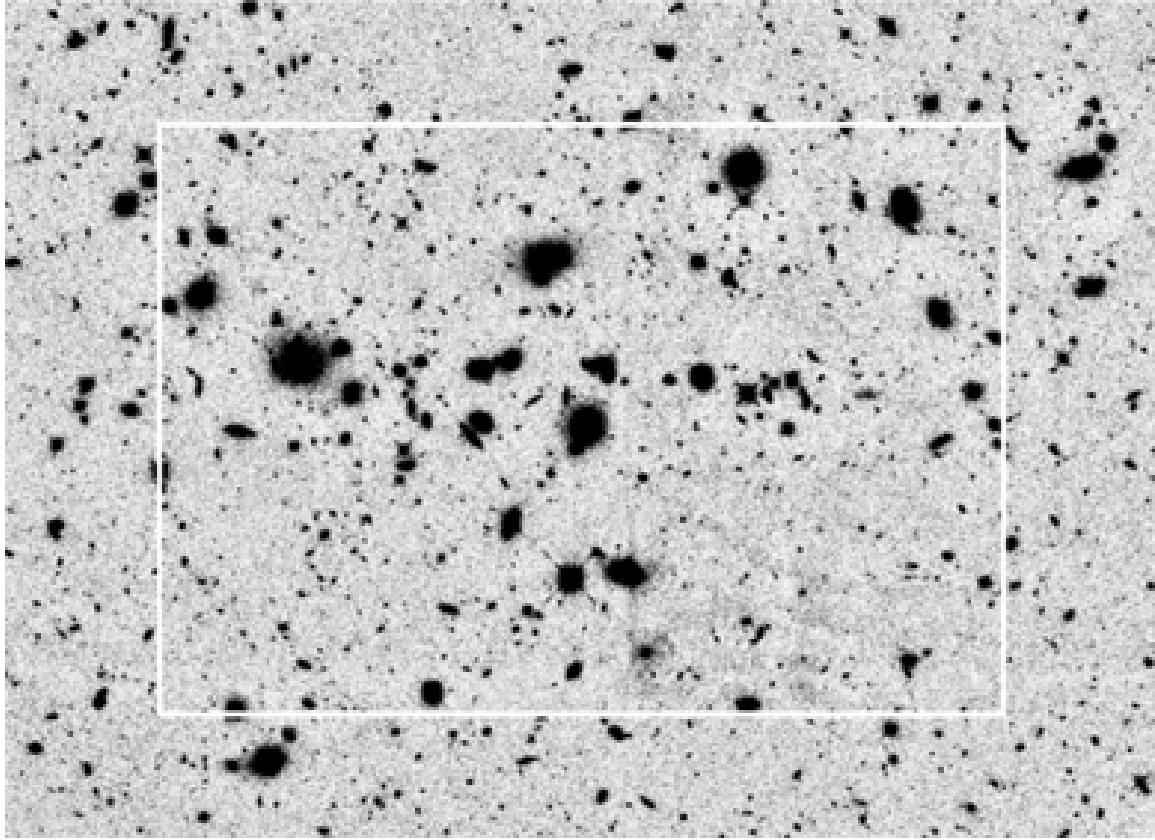


Fig. 9.— MIRI images at 5.6 and 7.7  $\mu\text{m}$  will reach the depth and resolution to match very deep near infrared images such as the one above at 1.6  $\mu\text{m}$  from CANDELS (Koekemoer et al. 2011). The resolution of this image ( $0''.2$ ) matches closely that of MIRI at 5.6  $\mu\text{m}$ , and the white box shows the FOV of the MIRI imager ( $74'' \times 113''$ ). At this wavelength the MIRI detection limits will be within a magnitude (AB) of those in this image, allowing measurement of virtually all the galaxies at reasonably high redshift (Caputi 2011).

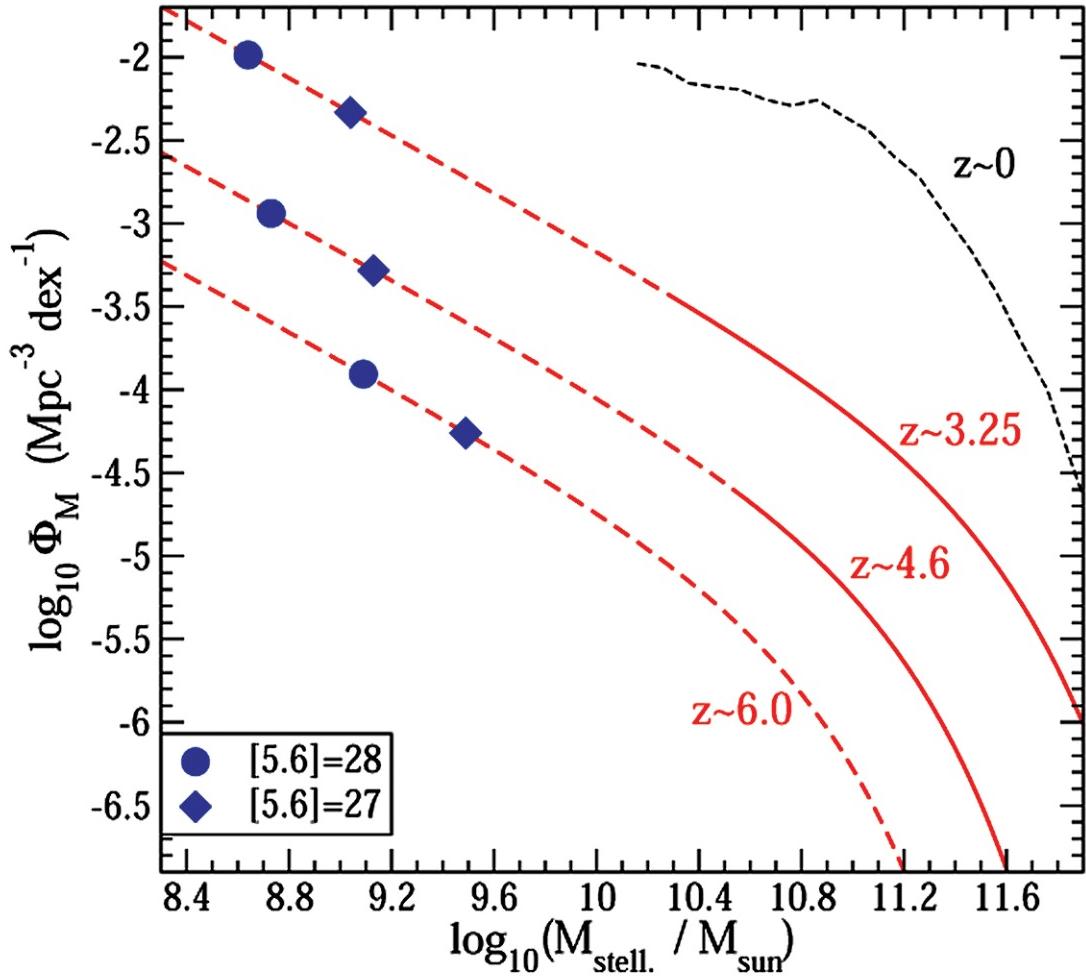


Fig. 10.— Theoretical predictions of the evolution of the galaxy mass function with redshift from Caputi (2011), according to the models of Choi & Nagamine (2010). The solid parts indicate how far down in mass it is possible to go with the deepest IRAC images (Caputi 2011). The additional depth with MIRI is indicated by the blue diamonds and circles indicating completeness limits corresponding to AB magnitudes respectively of 27 and 28 at  $5.6 \mu\text{m}$ , which can be reached ( $4-\sigma$ ) in 3 and 20 hours, respectively. These measurements will allow exploring the dashed regions where the predicted changes with redshift should be readily apparent.

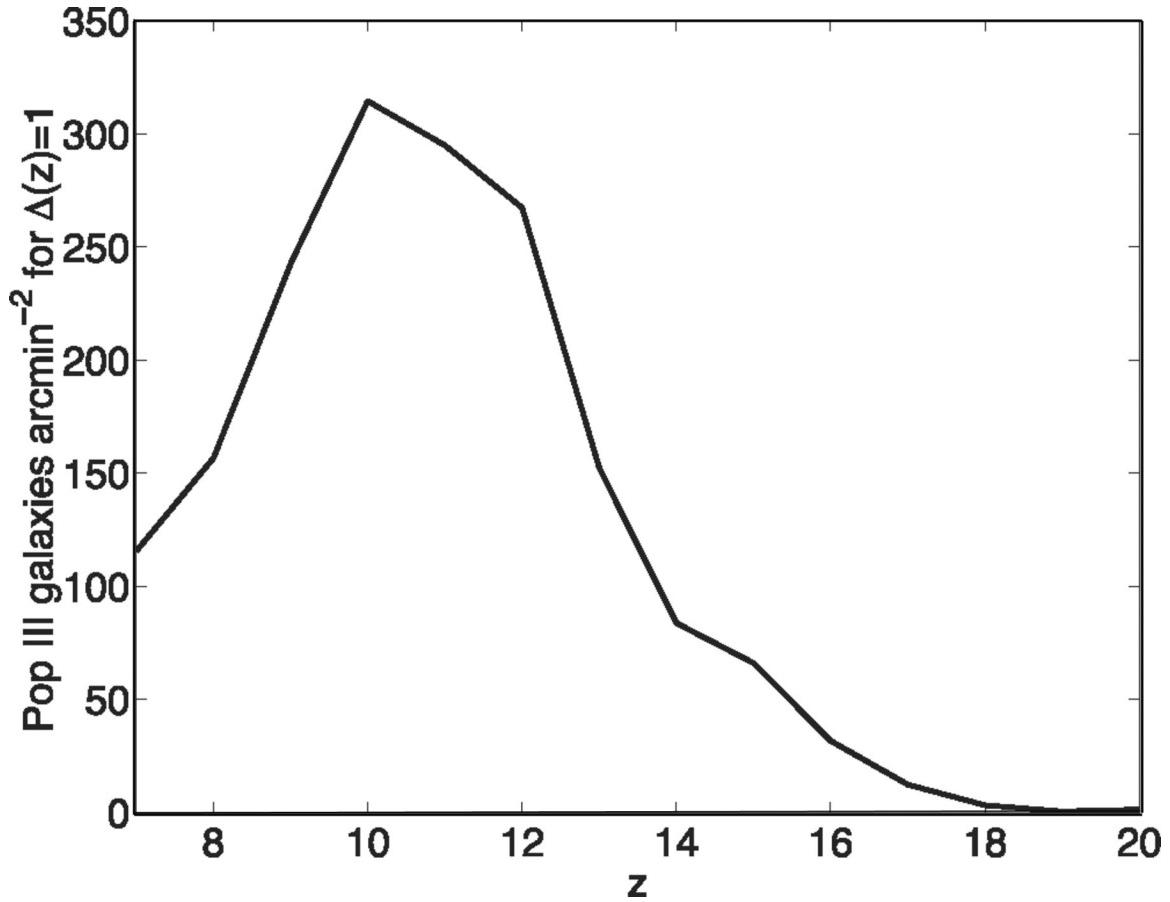


Fig. 11.— The predicted number of pop III galaxies per square arcmin and unit redshift in an unlensed field (Zackrisson et al. 2012). The numbers are based on pop III halo catalogs generated as described by Trenti et al. (2009), i.e. by calculating the evolution from a synthetic  $\Lambda$  CDM model at  $Z = 199$ . Halo masses are converted to fluxes as in Zackrisson et al. (2011).

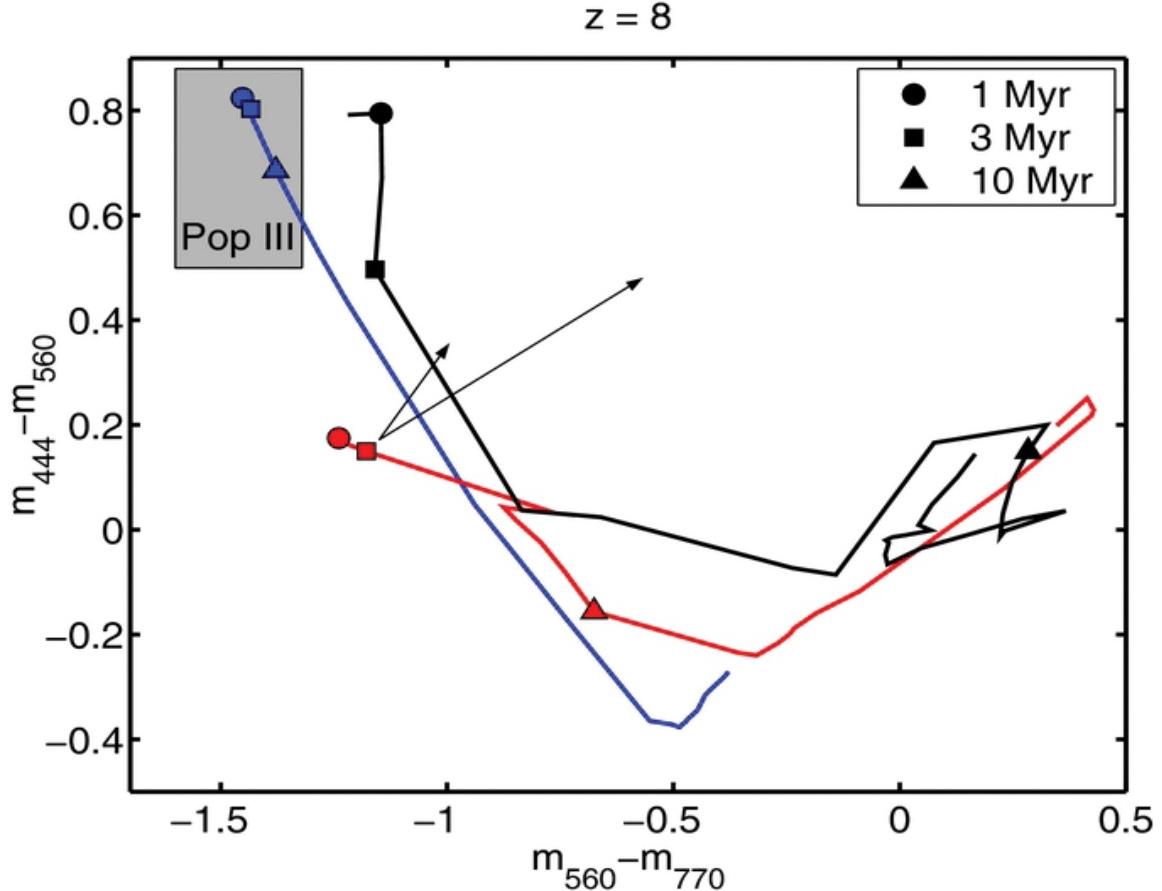


Fig. 12.— JWST photometric signatures of Pop III galaxies at  $z = 8$  (Zackrisson et al. 2011). The lines are for models of instantaneous bursts of star formation, with symbols indicating ages as in the inset. Blue is for Pop III.2, red for Pop II and black for Pop I. The arrows show how the colors of a 3 Myr old Pop II galaxy would be affected by LMC-type (short arrow) or Calzetti (long arrow) extinction, assuming  $E(B - V) = 0.25$ . The Pop III galaxies will lose their unique color identities after some time of order a few  $10^7$  years (Zackrisson et al. 2011). For more details, see Zackrisson et al. (2011).